

## SYSTEM AND METHOD FOR MANAGING A NETWORK

### Field

The present application relates to computer system management, and more particularly, to a system and method  
5 for managing a network including at least one subnet.

### Background

Managing large quantities of desktop computers is challenging. During times of network crisis, such as a  
10 virus infection or mass application outage, a common hindrance faced while trying to effectively manage the situation is timely information. Traditional inventory management systems collect much of this data, but can lag days or even weeks behind current conditions. Some  
15 industry experts estimated that one virus recently hit critical mass on the Internet twenty seven minutes after the virus was released.

Some companies have infrastructure in place to distribute security patches, virus definitions and collect  
20 inventory data, but such infrastructures are only as good as the controls in place for the respective platform. Specifically, these infrastructures can only manage computers that are in compliance with a respective company's corporate standards. Accordingly, a large gap

results in the company's defenses, as vendors, contractors, employees and others may connect to the network with unmanaged computers.

Therefore, a need exist for a system and method that  
5 uses a client within a respective subnet of the network to monitor other clients within that subnet, for instance, to rapidly gather and report time-sensitive information about an end user platform across a large network.

## 10 **Summary**

An aspect of the present application provides for a method for managing a network, the network comprising partitioning the network into at least one subnet, the at least one subnet including a plurality of clients,  
15 selecting one of the plurality of clients to be operable as a subnet controller, and selecting another of the plurality of clients to be operable as a successor subnet controller, the subnet controller and the successor subnet controller being operable for determining health of the plurality of  
20 clients within the at least one subnet.

Another aspect of the present application provides for a method for managing a subnet having a plurality of clients, the method comprising operating as a subnet controller, the subnet controller being one of the

plurality of clients, reporting to a global controller,  
receiving data from the global controller, transmitting  
data to the plurality of clients within the subnet,  
receiving feedback data from at least one client of the  
5 plurality of clients, evaluating the feedback data for  
determining health of the at least one client, and  
reporting to the global controller data regarding the  
health of the at least one client.

A further aspect of the present application provides  
10 for a system for managing a network including at least one  
subnet, the system comprising a plurality of clients  
located within the at least one subnet, one client of the  
plurality of clients operable as a subnet controller for  
managing the at least one subnet, each of the plurality of  
15 clients having an election algorithm for selecting the one  
client within each of the plurality of subnets operable as  
the subnet controller, and a global controller coupled to  
the at least one subnet, the global controller transmitting  
at least one health rule to the one client within each of  
20 the plurality of subnets operable as the subnet controller,  
wherein the one client within the at least one subnet  
operable as the subnet controller delegates to at least one  
of the other clients within the at least one subnet

monitoring of the plurality of clients within the at least one subnet according to the at least one health rule.

#### **Brief Description of the Drawings**

5           Figure 1 illustrates an exemplary network management system according to the exemplary embodiments of the present application;

          Figure 2 illustrates an exemplary flow diagram for selecting a subnet controller and at least one successor  
10 subnet controller according to the exemplary embodiments of the present application;

          Figure 3 further illustrates the process for selecting a subnet controller;

          Figure 4 further illustrates the process for selecting  
15 at least one successor subnet controller; and

          Figure 5 illustrates an exemplary flow diagram for managing a plurality of subnets with a global controller and at least one subnet controller.

## Detailed Description

Exemplary network management system 100 is depicted in Fig. 1. Network management system 100 includes network 155 divided into at least one subnet including, for instance, subnet A 115, subnet B 120 and subnet C 125. In an exemplary embodiment, subnet A 115 includes a plurality of clients --clients A1 125a...An 125n, subnet B 120 includes a plurality of clients --clients B1 130a...Bn 130n, and subnet C 125 includes a plurality of clients --clients C1 135a...Cn 135n. As will be appreciated by a person having ordinary skill in the art, the illustration and description of a network being divided into three subnets is merely exemplary, as a network can be divided into more or less subnets, whereby each subnet can include one or more clients and/or other devices.

Network management system 100 also includes global controller 105 coupled to subnet A 115, subnet B 120 and subnet C 125, global controller 105 is operable for transmitting data to and receiving data from each of the respective subnets 115, 120, 125. In an exemplary embodiment, hypertext transfer protocol ("HTTP") requests are used for communication between global controller 105 and subnets 115, 120, 125. Alternatively, other communication protocols can also be used in addition to or

instead of HTTP requests such as any custom or non-custom routable network transport or protocol, such as Telnet and the secured shell referred to as SSH. As for communication amongst clients of a respective one of the subnets 115,

5 120, 125, including a client operable as a subnet controller, network traffic is Internet protocol based, for instance, transmission control protocol ("TCP") and/or user datagram protocol ("UDP"). Other communication protocols for communication between global controller 105 and each  
10 subnet, and between respective clients, are equally applicable to the exemplary embodiments described and illustrated in the present application.

In an exemplary embodiment, global controller 105 is a web server operable for controlling predefined rules  
15 (referred to hereinafter as "health rules") for managing network 155 and its plurality of subnets 115, 120, 125. For example, global controller 105 creates health rules and controls how the health rules are received by the plurality of subnets 115, 120, 125, how the health rules are viewed  
20 by the plurality of subnets 115, 120, 125, how the health rules are interpreted by the plurality of subnets 115, 120, 125 and how the health rules are reported to the plurality of subnets 115, 120, 125. Global controller 105 is operable as a data source and the exemplary functions

described herein are performed by an administrative software application associated with global controller 105. The administrative software application can be a web application or a local desktop application. Global controller 105 also controls data transmitted to and from subnet A 115, subnet B 120 and subnet C 125, controls reporting functions such as listing all clients currently running a potentially harmful executable, for example, msblast.exe, and is a point of user interaction with network 155 via a web application. Global controller 105 is not limited to being operable as a web server or otherwise. Non-web based architectures and web-based architectures wherein global controller 105 is not operable as a web server can also be used for network routing and connectivity, and data store for outgoing health rules and incoming client status data.

As can be seen in Fig. 1, subnet A 115 includes client A5 125e operable as a subnet controller, subnet B 120 includes client B1 130a operable as a subnet controller and subnet C 125 includes client C2 135b operable as a subnet controller. The process of selecting which client within a respective subnet is operable as a subnet controller is described in detail herein with reference to Figs. 2 through 4. Subnet controllers 125e, 130a, 135b are

operable for controlling activities on subnet A 115, subnet B 120 and subnet C 125, respectively, for example, health rule propagation, data collection and communications with global controller 105. In the present application, each client within subnet A 115 can function as a subnet controller upon being selected to serve that role.

Further, a client service runs on each of the clients within subnet A 115, subnet B 120 and subnet C 125. Each client service, for instance, evaluates health rules,

receives information from and reports information to a respective one of the subnet controllers 125e, 130a, 135b, and is operable for investigating nodes (other clients) that are not responding to requests from the respective one of the subnet controllers 125e, 130a, 135b.

Global controller 105 is also coupled to memory unit 110. Memory unit 110 can include various types of memory storage devices, for example, one or more databases, relational or otherwise and, therefore, is not meant to be limited to any particular type of storage device or quantity of storage devices operating alone or in combination. Memory unit 110 stores, for instance, health rule sets used for determining whether a respective client is healthy, unhealthy, managed or unmanaged.



The components of Fig. 1 may be implemented through hardware, software, and/or firmware. The components in network management system 100 are not limited to those illustrated.

5        Figure 2 illustrates an exemplary flow diagram for selecting a subnet controller and at least one successor subnet controller according to the exemplary embodiments of the present application. In 205 an initial subnet controller is selected and in 210 at least one successor  
10   subnet controller is selected within each of the subnets 115, 120, 125, described herein in more detail with reference to Figs. 3 and 4, respectively.

Figure 3 illustrates in more detail the process of selecting an initial subnet controller. In an exemplary  
15   embodiment of the present application, a subnet controller for each of the plurality of subnets 115, 120 and 125 is selected through a process by which each of the clients within the respective one of the plurality of subnets 115, 120 and 125 participates in a local election to determine  
20   that subnet controller. Specifically, for example, each of clients A<sub>1</sub> 125a...A<sub>n</sub> 125n monitors communications between each other during a predetermined interval to determine whether one of clients A<sub>1</sub>... 125a...A<sub>n</sub> 125n is acting as a subnet controller for subnet A 115, in 305 and 310. If it

is determined that at least one of clients A1 125a...An 125n has not received data from another client within subnet A 115 indicative of that other client operating as a subnet controller during the predetermined interval, then clients 5 A1 125a...An 125n hold a local election to determine which of clients A1 125a...An 125n will be selected as the subnet controller, in 320. As will be appreciated by a person having ordinary skill in the art, election processes are well known in the art and therefore are not described in 10 detail herein. For example, an election process as set forth at [www.elet.polimi.it/ upload/fornacia/didattica/ labsw0304/2004ElectionAlgorithms.pdf](http://www.elet.polimi.it/upload/fornacia/didattica/labsw0304/2004ElectionAlgorithms.pdf) can be utilized for the exemplary embodiments of the present application. Once the local election process has concluded, the subnet 15 controller, for example, client A5 125e, is selected, in 325. The above-described process is repeated every predetermined interval in order to determine whether one of clients A1... 125a...An 125n is acting as a subnet controller for subnet A 115, for instance, by monitoring whether 20 communication is originating from a client indicative of that client operating as a subnet controller.

In an exemplary embodiment, global controller 105 does not play a role in determining which client is selected as an initial subnet controller or as a successive subnet

controller. A local election process the same as or similar to the local election process performed for subnet A 115 is performed for subnet B 120 and subnet C 125 and therefore a detailed description is not provided herein for  
5 those subnets.

If it is determined that at least one of clients A1 125a...An 125n has received data from another client within subnet A 115 indicative of that other client operating as a subnet controller during the predetermined interval, then  
10 each of the clients not operating as a subnet controller resume operations and therefore do not hold a local election, in 315. For instance, in order to determine whether one of clients A1... 125a...An 125n is acting as a subnet controller, a determination is made whether a client  
15 on the respective subnet receives a request for status from a subnet controller within the predetermined interval, for example, within X time cycles, and if so, a local election process will not begin.

Figure 4 illustrates the process for selecting at  
20 least one successor subnet controller to replace an initial subnet controller, for instance, to replace subnet controller 125e within subnet A 115. In the present application, a successor subnet controller is selected when a current subnet controller, for instance, an initial

subnet controller, will be powered down, its processor speed has decreased below a predetermined threshold, its memory capacity has decreased below a predetermined capacity, the subnet controller is improperly operating and  
5 a user logs into the current subnet controller.

In order to replace a current subnet controller such as subnet controller 125e, subnet controller 125e initiates the process by transmitting a previously determined number n, referred to herein as a bully number, to each of the  
10 other clients within subnet A 115, in 405. In an exemplary embodiment, bully number n is determined by the respective current subnet controller as follows. Subnet controller 125e processes a software application stored in a memory unit associated with subnet controller 125e that is  
15 operable as an election algorithm. The election algorithm evaluates various criteria associated with subnet controller 125e, such as processor speed, whether a user logged into the client, how many users are connected to the client, memory size, network connection speed, central  
20 processing unit utilization and number of processors. The above-enumerated criteria are merely exemplary and are not intended to limit the scope of the present application. Based on the results of these inquiries by subnet controller 125e, the election algorithm generates a bully number n.

Bully number n is received by each of the other clients within subnet A 115 and each of these clients generates its respective number n using an election algorithm stored locally at that client, for instance, the same election  
5 algorithm with the same election criteria as used by the current subnet controller, in 410. Each client can generate its bully number n before or after receiving the number n associated with the current subnet controller. Each client within subnet A 115 then compares its  
10 respective bully number n with bully number n associated with current subnet controller 125e, 415. Those client(s) having a greater bully number n than the bully number n associated with current subnet controller 125e transmit its (their) respective bully numbers to the other clients  
15 within subnet A 115, in 420. This process is repeated until the client amongst clients A1 125a...An 125n having the greatest bully number n is determined, in 425. That client is then operable as the successor subnet controller within subnet A 115. In an exemplary embodiment, if the other  
20 clients within subnet A 115 do not have a bully number n greater than the bully number n associated with current subnet controller 125e, then the other clients do not respond to election requests. The same process is performed for subnet B 120 and subnet C 125 when a

successor subnet controller needs to be selected for current subnet controller 130a and current subnet controller 135b, respectively. In the event that two or more clients have the same bully number n, the two or more  
5 clients will operate as the subnet controller. As a result, each of these clients will note that it is not the only client, for instance, within subnet A 115, operating as a subnet controller and therefore will negotiate with the other clients to determine which of them will remain as  
10 subnet controller 125e. For example, negotiation occurs by each of the two or more clients generating a random number and the client with the highest generated number will operate as the subnet controller.

Instead of subnet controller 135b transmitting its  
15 current bully number n, current subnet controller 135b can call for an election using a bully number of zero which would result in automatic loss for current subnet controller 135b to any client in subnet C 125 since the other generated bully numbers are, for instance, positive  
20 integers. Alternatively, current subnet controller 135b could call an election at an incrementally smaller bully number than subnet controller's 135b bully number n and if a client responds with a higher bully number, then that client will become the successor subnet controller.

In another exemplary embodiment of the present application, each of the current subnet controllers within subnet A 115, subnet B 120 and subnet C 125 stores data identifying the client within its respective subnet having  
5 the highest bully number n or a group of clients having the highest bully numbers. For instance, subnet controller 135b within subnet C 125 stores a data list or the like in a memory unit associated therewith identifying five other clients within subnet C 125 having the highest bully  
10 numbers n, as previously determined by an election algorithm running locally on each of those clients. Subnet controller 135b received these numbers from the other clients during an interval of standard communication between clients and subnet controller 135b, as described  
15 herein. As a result, when a successor subnet controller needs to be selected, current subnet controller 135b selects the client associated with the greatest bully number n if that client is available, selects the client with the next greatest bully number n if the previous  
20 client was not available, etcetera. In an exemplary embodiment, current subnet controller 135b determines that a client is available by determining whether that client responded to data transmitted to that client. If all the clients identified in the data list or the like are not

available, then an election process is performed as described above with reference to Fig. 4. In particular, subnet controller 135b can transmit its current bully number n, a bully number n of zero or an incrementally smaller bully number. Alternatively, if all the clients in the data list or the like are not available, then current subnet controller 135b shuts down and an election will ensue after a period of time has elapsed since the clients within subnet C 125 will note that there is no assigned subnet controller for that subnet, as described herein with reference to Fig. 3.

Figure 5 illustrates an exemplary flow diagram for managing a plurality of subnets with a global controller and at least one subnet controller. Subnet A 115 includes client A5 125e, subnet B 120 includes client B1 130a and subnet C 125 includes client C2 135b, each of these clients operating as a subnet controller for its respective subnet, in 505. Periodically, for instance, after the expiration of a predetermined amount of time, subnet controller 125e, subnet controller 130a and subnet controller 135b report to global controller 105, in 510. Thereafter, subnet controller 125e, subnet controller 130a and subnet controller 135b receive data from global controller 105, including, for example, any new health rules for managing



subnet A 115, subnet B 120 and subnet C 125, respectively,  
and while global controller 105 can dictate the interval  
for health checks in an exemplary embodiment each  
respective subnet controller is responsible for keeping  
5 this interval and hence does not receive instructions to do  
so each time, in 515.

The following are exemplary health rules and are not  
intended on limiting the scope of the present application  
in any way. A health rule may state that clients need to  
10 be checked to determine whether the program msblast.exe is  
running on each respective client and if it is running on  
one or more clients, that client(s) is determined to be  
unhealthy. Likewise, another health rule may state that  
clients need to be checked to determine whether a virus  
15 definition file is more than a predetermined number of days  
old and if so that client(s) is determined to be unhealthy.  
Health rules can also be more or less specific, for  
instance, determining whether a client is running a  
Microsoft® SQL server and determining whether a particular  
20 dynamic link library is not a certain version and if it is  
that version, that client(s) is determined to be unhealthy.

In compliance with any new or existing health rules  
and instructions, subnet controller 125e, subnet controller  
130a and subnet controller 135b transmit data to each

client within subnet A 115, subnet B 120 and subnet C 125,  
respectively, in order to determine the health of these  
clients including whether each client is managed or  
unmanaged, in 520. Each client has a rule parser that  
5 understands the health rules and evaluates each health  
rule. The health rules are updated as a result of subnet  
controllers 125e, 130a, 135b asking for a "health check"  
and along with the request is a time/date stamp of the last  
health rule update. If a client has one or more out-of-date  
10 (old) health rules that client will request a new health  
rule set from the respective one of subnet controllers  
125e, 130a, 135b. The questions and/or responses can be  
secured and encrypted in order to prevent improper clients  
from reporting egregious information.

15 Subnet controller 125e, subnet controller 130a and  
subnet controller 135b store data indicating the number of  
clients within their respective subnets and hence the  
number of clients that should respond to the health related  
question or questions. For instance, subnet controllers  
20 130a, 135b know all the valid addresses of clients on their  
respective subnet that should respond because this data is  
derived by a subnet mask and subnet address when using, for  
example, the communication protocol TCP/IP. In 525,  
subnet controller 125e, subnet controller 130a and subnet

controller 135b receive feedback data from one or more clients within their respective subnet. The feedback data includes, for instance, responses to the transmitted question or questions. In an exemplary embodiment, the responses to the transmitted question or questions is either true or false. As will be appreciated by a person having ordinary skill in the art, other responses could be utilized, such as yes/no, pass/fail or the like, or more detailed responses.

Each subnet controller 125e, 130a, 135b evaluates the feedback data pertaining to those responsive clients within its subnet to determine whether each client is managed or unmanaged and whether each client has indicated it is healthy or not healthy, in 530. More particularly, the feedback data will indicate whether each client is healthy because as described herein, each client utilizes the health rules to determine locally whether that respective client is healthy. For instance, a client is determined to be healthy by a subnet controller if the client is determined to be active in a respective subnet and that client reported that it passed all the health rules that have been established. On the other hand, a client is determined to be unhealthy if the client is determined to be active, but reported that it failed one or more of the

health rules that have been established. Further, subnet controllers 125e, 130a, 135b know that a client is managed by virtue of that client responding to a health check query. Any client that is active on network 155, for instance, the client returns a ping, but does not respond to the respective subnet controller's health check query is determined to be un-managed. In the present application, an active client is one that is operational and connected to network 155.

10 In an exemplary embodiment, for those clients within a respective subnet that did not respond to the data transmitted by subnet controller 125e, subnet controller 125e delegates further investigation to at least one other client with subnet A 115. In particular, subnet controller 15 125e selects at least one of the responsive clients within subnet A 115 to check on the status of at least one of the non-responsive known clients within subnet A 115, if it was determined by subnet controller 125e that at least one of the known clients within subnet A 115 was non-responsive, 20 in 535. In an exemplary embodiment, subnet controller 125e determines which of the responsive clients to conduct further investigation by transmitting at least one question to each of the responsive clients within subnet A 115 and whichever client responds first is delegated the task of

checking on the status of at least one non-responsive client within that subnet.

In an alternative embodiment, the subnet controller, for example, subnet controller 125e, maintains a queue of  
5 addresses that need to be researched, the subnet controller then sends a request to all clients within that subnet, and as each client connects tasks are distributed in batches of a predetermined number on a first come, first serve basis.

The delegated client or clients selected by subnet  
10 controller 125e is instructed by subnet controller 125e to ping particular non-responding clients within subnet A 115. If the non-responsive client or clients do not respond to the ping, the delegated client(s) determine that the non-responsive client or clients is not located at the  
15 respective uniform resource locator ("URL") address. If the non-responsive client or clients do, however, respond to the ping, then the delegated client(s) transmits at least one question to the now responsive client or clients.. Depending on the answer(s) to the question(s),  
20 the delegated client or clients determine whether the client or clients are managed, unmanaged, healthy or unhealthy as previously described herein with respect to 520 through 530 of Fig. 5.

The same process is followed for subnet B 120 and subnet C 125, if it was determined by subnet controller 130a and subnet controller 135b, respectively, that at least one of the known clients within subnet B 120 and  
5 subnet C 125, respectively, were non-responsive. Instead of or in addition to using a ping, specific IP ports on remote clients can be probed.

Once the delegated client or clients within subnets 115, 120, 125 conclude their investigation, data indicating  
10 the results of the investigation is transmitted to and received by subnet controllers 125e, 130a, 135b, respectively, in 540. Thereafter, subnet controllers 125e, 130a, 135b report the results back to global controller 105, in 545.

15 According to the exemplary embodiments described and illustrated in the present application, network management system 100 determines the current active clients on network 100 and their physical location, regardless whether a respective client is managed or unmanaged, determines file  
20 system information such as the existence of a particular file, determines registry information such as the existence of a particular key or registry and determines service information such as whether an anti-virus application is running on a respective client. In the present

application, specific information can be determined for managed clients. For unmanaged clients, the operating system that is running and not specific information can be determined.

5 Further, the following exemplary situations are identified and handled by network management system 100 according to the exemplary embodiments of the present application: an application fails due to a software rollout gone astray so users are identified that are having  
10 problems; a new virus hits the Internet so anti-virus protection and patch level are verified and unprotected clients are removed from network 155 before the virus enters network 155; and a new virus enters network 155 so its location and how fast it is spreading can be  
15 determined, and entire subnets, for instance, subnets 115, 120, 125 can be quarantined. Also, network management system 100 determines when an unmanaged client is plugged into network 155 according to the exemplary embodiments set forth herein. In the present application, clients within a  
20 respective subnet know a new client has logged into network 155 when a new cycle begins, that new client will either be managed and start participating in the subnet or the new client will be unmanaged and detected by a health scan and then reported. Each of these exemplary situations are

handled based on the health rules that are populated in  
global controller 105 to know and search for specific  
information in the form of files and registry entries.

The embodiments described above are illustrative  
5 examples of the present application and it should not be  
construed that the present application is limited to these  
particular embodiments. Various changes and modifications  
may be effected by one skilled in the art without departing  
from the spirit or scope of the invention as defined in the  
10 appended claims.